

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RESPONSE TO NOTICE OF INCOMPLETE REPLY

( NOT PCT Applications)

For Design, Provisional, or Utility Applications

PATENT  
APPLICATION

RESPONSE to Notice of Incomplete  
Reply



Inventor(s): NAKAJIMA

Appln. No.: 09

630,557

Atty.Dkt.

P

271790

NI-0005PCTUS

Series Code 0

Serial No. 0

M#

Client Ref

Filed: January 5, 2001

Title: ELECTRONIC SETTLEMENT SYSTEM, SETTLEMENT APPARATUS, AND TERMINAL

Attn: Application Division

Hon. Commissioner of Patents  
Washington, DC 20231

Date: June 6, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. Notice of Incomplete Reply ☒ copy attached ☐ not yet received
2. ☒ Specification originally filed in non-English language:
  - a. ☒ Previously submitted January 5, 2001
3. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
13. Basic Filing Fee				Design Application	\$320/\$160	106/26
				Not Design Application	\$710/\$355	101/201
14. Total Effective Claims		minus 20 =		x \$18/\$9	+0	103/203
15. Independent Claims		minus 3 =		x \$80/\$40	+0	102/202
16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a <u>reissue</u> application)					\$270/\$135	104/204
17. Surcharge for filing Declaration/filing fee late					\$130/\$65	105/205
18.				FILING FEE ENCLOSED =		\$20
19. Original due date: June 6, 2001						
20. Petition is hereby made to extend the <u>original</u> due date to cover the date this response is filed for which the requisite fee is attached				(1 mo)	\$110/\$55 =	115/215
				(2mos)	\$390/\$195 =	116/216
				(3mos)	\$890/\$445 =	117/217
				(4mos)	\$1390/\$695 =	118/218
21. If "non-English" box 2 is X'd, add Rule 17(k) processing fee					\$130	+0
22. If "assignment" box 5 is X'd, add recording fee					\$40	+0
23. Petition Fee for					\$130	+0
24.				TOTAL FEE ENCLOSED =		\$20

Our Deposit Account No. 03-3975

Our Order No.

7874

271790

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M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP  
Intellectual Property Group**

1100 New York Avenue, NW  
Ninth Floor  
Washington, DC 20005-3918  
Tel: (202) 861-3000  
Atty/Sec: gjp/dlh

By Atty: Glenn J. PerryReg. No. 28458Sig: Fax: (202) 822-0944Tel: (202) 861-3070

**NOTE:** File in duplicate with PTO receipt (PAT-103A) and attachments



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/630,557	01/05/2001	Keichi Nakajima	PM 271790 NI-0005PCTUS

CONFIRMATION NO. 9517

## FORMALITIES LETTER



\*OC000000005943501\*

Pillsbury Madison & Sutro LLP  
Intellectual Property Group  
Ninth Floor  
1100 New York Avenue NW  
Washington, DC 20005-3918

Date Mailed: 04/06/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 01/05/2001 to the Notice to File Missing Parts (Notice) mailed 09/26/2000 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The statutory basic filing fee is insufficient.  
*Applicant must submit \$ 20 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The balance due by applicant is \$ 150.
- The English translation fee of \$ 130 as set forth 37 CFR 1.17(k) was not paid.

06/15/2001 TV0111 00000014 033975 09630557

01 FC:139 130.00 CH

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/11/2001 SSANDARA 00000003 09630557

01 FC:101

710.00 OP

Adjustment date: 06/11/2001 SSANDARA  
06/15/2000 BALEXAND 00000001-09630557  
01 FC:101 -690.00 OP